

PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Docket No: Q61858
Keiichi YAMAUCHI	Allowed: November 16, 2006
Appl. No.: 09/715,009	Group Art Unit: 2627
Confirmation No.: 7924	Examiner: Kim Kwok CHU
Filed: November 20, 2000	
For: APPARATUS FOR AND METHOD OF RECORDING AND REPRODUCING INFORMATION	

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting herewith the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under 37 C.F.R. § 1.97(e). The statutory fee of \$180.00 is being charged to Deposit Account No. 19-4880 via EFS Payment Screen. The USPTO is also directed and authorized to charge all

INFORMATION DISCLOSURE STATEMENT

U.S. Application No.: 09/715,009

Q61858

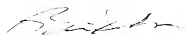
required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: An English language Abstract of JP 06-259945 is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON, DC

23373

U.S. PATENT NUMBER

Date: January 19, 2007

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Keiichi YAMAGUCHI

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted.



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U.S. PATENT OFFICE

23373

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Substitute for Form 1449 A & B PTO <u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u> <i>(use as many sheets as necessary)</i>			<i>Complete if Known</i>		
			Application Number	09/715,009	
			Confirmation Number	7924	
			Filing Date	November 20, 2000	
			First Named Inventor	Keiichi YAMAUCHI	
			Art Unit	2627	
Examiner Name	Kim Kwok CHU	Attorney Docket Number	Q61858		
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials ^a	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials ^a	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ³
		Country Code ⁴	Number ⁴	Kind Code ² (if known)			
		JP	06-259945	A	09-16-1994	SONY CORP	English Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ^a	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city, and/or country where published.	Translation ³
		Copy of Office Action dated December 1, 2006 issued in corresponding Japanese Patent Application No. 0111-336194	No

Examiner Signature	Date Considered
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^aUS, AIN, or K: Initial of rule once considered; slash or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance, and not considered. Include code (if the form with next communication) to apply.

Applicant's unique citation designation and/or caption(s). See Kind Codes of USPTO Patent Documents at www.uspto.gov. MPEP 901.01 or follow the hyperlink from the link of the document to the internet. If the Office must use the document by the two-letter code (WIPO Standard S1-3). For Japanese patent documents, the indication of the year of the request for publication must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under 0.010 Standard S1-15 (if possible). Applicant is to indicate, here, if English language translation is attached.